



# OAG

Office of the Auditor General

## Report Summary

### *Performance Audit*

**Report Number:**  
231-0525-14

### *Driver and Vehicle Related Systems*

### *Department of State and Department of Technology, Management, and Budget*

**Released:**  
April 2015

The Department of State (DOS) uses various information systems to manage and process its driver and vehicle related transactions. The Department of Technology, Management, and Budget (DTMB) provides information technology support services for these systems. During fiscal year 2013, DOS collected \$2.2 billion in taxes and fees from driver's licenses and vehicle registrations and titles.

Audit Objective			Conclusion
Objective #1: To assess the effectiveness of DOS and DTMB's efforts to ensure the timely, accurate, and complete processing of selected driver and vehicle related data by the Unisys mainframe.			Moderately effective
Finding Related to This Audit Objective	Material Condition	Reportable Condition	Agency Preliminary Response
DOS should strengthen its controls over the updating of external data to driver and vehicle records on the mainframe to help ensure timely, accurate, and complete updates of conviction and stolen vehicle data ( <u>Finding #1</u> ).		X	Partially agrees

Audit Objective			Conclusion
Objective #2: To assess the effectiveness of DOS and DTMB's efforts to implement security and access controls over selected driver and vehicle related systems.			Moderately effective
Findings Related to This Audit Objective	Material Condition	Reportable Condition	Agency Preliminary Response
DTMB did not fully establish security and access controls over key databases that DOS uses to process driver and vehicle related transactions, increasing the risk of confidential data loss and unauthorized access to confidential data ( <u>Finding #2</u> ).		X	Agrees

<b>Findings Related to This Audit Objective (Continued)</b>	<b>Material Condition</b>	<b>Reportable Condition</b>	<b>Agency Preliminary Response</b>
DTMB did not establish effective security and access controls over key operating systems, which increases the risk of unauthorized access to confidential data ( <u>Finding #3</u> ).		X	Agrees
DOS did not fully implement effective access controls over key driver and vehicle related systems to prevent selected users from viewing or accessing records that they are not authorized to view or access ( <u>Finding #4</u> ).		X	Agrees

A copy of the full report can be obtained by calling 517.334.8050 or by visiting our Web site at: <http://audgen.michigan.gov>

Office of the Auditor General  
201 N. Washington Square, Sixth Floor  
Lansing, Michigan 48913

**Doug A. Ringler, CPA, CIA**  
Auditor General

**Laura J. Hirst, CPA**  
Deputy Auditor General