



Michigan
Office of the Auditor General
REPORT SUMMARY

Performance Audit

Mound Correctional Facility

Department of Corrections

Report Number:
47-253-03

Released:
July 2004

Mound Correctional Facility, located in Wayne County, is under the jurisdiction of the Department of Corrections. The Facility's mission is to protect the public by providing a safe, secure, and humane environment for staff and prisoners. The Facility, which opened in 1994, is a medium security (level II) and a close security (level IV) facility for males, with a capacity of 1,051 prisoners. The Facility operates under policy directives and operating procedures established by the Department of Corrections as well as operating procedures that were developed by the Facility.

Audit Objective:

To assess the effectiveness of the Facility's safety and selected security operations.

Audit Conclusion:

We concluded that the Facility's safety and selected security operations were reasonably effective.

Reportable Conditions:

The Facility did not properly complete and monitor gate manifests to ensure that the movement of critical and dangerous items into and out of the Facility was properly controlled (Finding 1). The Facility needs to improve its control over critical and dangerous tools (Finding 2). The Facility did not conduct or properly document the completion of all security monitoring exercises (Finding 3). The Facility had not documented the completion of all required prisoner counts (Finding 4). The Facility did not ensure that corrections officers performed and documented the required number of prisoner shakedowns and cell

searches (Finding 5). The Facility did not ensure that all corrections officers were recertified annually in the use of the firearms required for their positions (Finding 6). The Facility did not consistently retain documentation showing when drug tests were conducted for prisoners. Also, the Facility did not annually review its drug testing process (Finding 7). The Facility had not conducted or complied with documentation requirements for self-audits of all Department-selected policy directives (Finding 8). The Facility had not completed all required prisoner program evaluations for prisoners assigned to food service, education, and general work assignments (Finding 9). The Facility had not posted notice of telephone monitoring signs in English, Spanish, and Braille at all telephones used by prisoners (Finding 10).

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Audit Objective:

To assess the effectiveness and efficiency of the Facility's prisoner care and maintenance operations.

Audit Conclusion:

We concluded that the Facility's prisoner care and maintenance operations were reasonably effective and efficient.

Reportable Conditions:

The Facility did not comply with all Department policy directives for its fire safety operations (Finding 11). The Facility did not properly approve all food service menu changes. Also, the Facility did not perform all meal evaluations and daily sanitation inspections in accordance with Department policy directives and operating procedures (Finding 12). The Facility did not include all required systems in its preventive maintenance plan. Also, the Facility did not always document in its maintenance records when preventive maintenance was performed or when work orders were completed (Finding 13). The Facility did not perform and properly document all required weekly sanitation inspections (Finding 14). The Facility did not maintain documentation to support the accuracy of its prisoner store monthly financial statements. Also, the Facility did not ensure that it transferred the correct amount of prisoner store net profits to the prisoner benefit fund (Finding 15). The Facility did not maintain a register of savings bonds purchased on behalf of prisoners as required by its operating procedures (Finding 16). The Facility had not developed and implemented a system

to identify, monitor, and timely dispose of prisoner debt (Finding 17).

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Audit Objective:

To assess the effectiveness of the Facility's management control in ensuring that payroll transactions were valid and accurate.

Audit Conclusion:

We concluded that the Facility's management control over payroll transactions was generally effective.

Reportable Condition:

The Facility did not always maintain appropriate documentation in employee files to support gross pay adjustment transactions (Finding 18).

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Agency Response:

Our audit report includes 18 findings and 22 corresponding recommendations. The Facility's preliminary response indicates that it agrees with the recommendations and has complied or will comply with them.

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Subsequent Event:

On January 2, 2004, a prisoner escaped from Mound Correctional Facility. The security weakness that allowed the escape to occur was related to conditions not within the scope of this performance audit.

A copy of the full report can be obtained by calling 517.334.8050 or by visiting our Web site at: <http://audgen.michigan.gov>



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